



K-1436FC1 Practitioner's Docket No.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mehrotra et al.

Application No.: 10 / 606,482 Group No.: 1775

Filed: 06/26/2003

SAVAGE, Jason L. Examiner: FROCESS FOR HEAT TREATING CERAMICS AND ARTICLES OF MANUFACTURE MADE THEREBY

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

#### AMENDMENT TRANSMITTAL

WARNING: Failure to file a complete response in compliance with § 1.135(c) leads to a reduction in patent term adjustment - See § 1.704(c)(7).

1. Transmitted herewith is an amendment for this application.

#### STATUS

2. Applicant is						
a small entity. A statement:						
is attached.	••					
☐ was already filed.	·					
XX other than a small entity.						
(When using Express Mail, the	R 37 C.F.R. §§ 1.8(a) and 1.10* Express Mail label number is mandatory; certification is optional.)					
I hereby certify that, on the date shown below, th	is correspondence is being:					
	MAILING					
deposited with the United States Postal Service Box 1450, Alexandria, VA 22313-1450	e in an envelope addressed to Commissioner for Patents, P.O.					
37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10 *					
XX with sufficient postage as first class mail.	as "Express Mail Post Office to Addressee"  Mailing Label No (mandatory)					
TR	ANSMISSION					
facsimile transmitted to the Patent and Trader	mark Office, (571) 273-8800.					
	Signature					
Date: September 4, 2007	Rhonda L. Sanders					
	(type or print name of person certifying)					
	and the annual former and trademand and evolutions, although the selection					

Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

03/10/2007 RFEKADU1 00000018 10606482

(Amendment Transmittal [9-19]-page 1 of 4)

#### **EXTENSION OF TERM**

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

- NOTE: See 37 C.F.R. § 1.645 for extensions of time in Interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.
- NOTE: 37 C.F.R. § 1.704(b) "... an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the Office making any rejection, objection, argument, or other request, measuring such three-month period from the date the notice or action was mailed or given to the applicant, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date that is three months after the date of mailing or transmission of the Office communication notifying the applicant of the rejection, objection, argument, or other request and ending on the date the reply was filed. The period, or shortened statutory period, for reply that is set in the Office action or notice has no effect on the three-month period set forth in this paragraph."
- 3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

(a) XIX Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity		
<b>XX</b>	one month	\$ 120.00	\$ 60.00		
	two months	\$ 450.00	\$ 225.00		
	three months	\$ 1,020.00	\$ 510.00		
	four months	\$ 1,590.00	\$ 795.00		

Fee: \$ 120.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

an extension for months has already been secured. The fer paid therefor of \$ is deducted from the total fee due for the total	
months of extension now requested.	
Extension fee due with this request \$ 120.00	_
OR	,

(b) Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]—page 2 of 4)

FORM	9-19		9-14

# FEE FOR CLAIMS

<b>4.</b> T	he f	ee for clair	ns (37 C	.F.F	R. § 1.	16(b)-	·(d)) I	nas b	een cal	culated	as sl		elow: THAN A
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INDEP	. •	2	MINUS	•••	. 7		= (	)	×\$100=	\$		×\$200=	= \$
☐ FIR	ST PF	RESENTATIO	OF MUL	TIPL	E DEP.	CLAIM			+\$180=	\$ .		+ \$360 =	<b>\$</b>
								ADI	TOTAL DIT. FEE	\$	OR	TOTAL ADDIT. FEE \$	_
 WAF	<ul> <li>if the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.</li> <li>if the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20."</li> <li>if the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3." The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed. </li> <li>WARNING: "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).</li> </ul>												
	(complete (c) or (d), as applicable)												
(c)	(c) XX No additional fee for claims is required.												
OR													
(d)  Total additional fee for claims required \$													
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		to Depos											
		to Credit form PTC		sho	own on	the	attac	hed (	credit ca	ard info	rmatio	on auth	orization
WAF		: Credit ca											
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	A d	luplicate o	f this pa	oer	is atta	ched.							
								(/	Amendme	nt Transn	nittal [9	<b>)–19]</b> —pa	ge 3 of 4)

#### FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. XX If any additional extension and/or fee is required, charge Account No. 02-2267

## AND/OR

xx If any additional fee for claims is required, charge Account

No. 02-2267

Reg. No.: 28,688

Tel. No.: (615 ) 662-0100

Customer No.: 1400

SIGNATURE OF PRACTITIONER

Stephen T. Belsheim

(type or print name of practitioner)

179 Belle Forrest Cr. Ste. 10

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Nashville, TN 37221

(Amendment Transmittal [9-19]-page 4 of 4)

9-148



<u>K-1436PC1</u> Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Mehrotra et al.	)	
Serial No. 10/606,482	)	GROUP ART UNIT 1775
Filed: June 26, 2003	)	Examiner: Savage, Jason L
For: PROCESS FOR HEAT TREATING	)	
CERAMICS AND ARTICLES OF	)	
MANUFACTURE MADE THEREBY	)	
Mail Stop Amendment		
COMMISSIONER FOR PATENTS		
P.O. Box 1450		
Alexandria, Virginia 22313-1450		September 4, 2007

Certificate of Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: September 4, 2007

Signature:

Rhonda L. Sanders

Type or Print Name of Person Certifying

Sir:

### **RESPONSE TO NON-FINAL OFFICE ACTION OF MAY 2, 2007**

### Introduction

This paper is responsive to the pending non-final Office Action mailed May 2, 2007<sup>1</sup> in the above-captioned patent application. By this paper, applicants fully respond to the pending non-final Office Action. The accompanying Amendment Transmittal addresses any necessary extension requests, extension fees and claim fees. Applicants submit that the present invention is patentable over the applied documents, and solicit the issuance of a Notice of Allowability and Notice of issue Fee Due

<sup>&</sup>lt;sup>1</sup> A one month extension extends the date for response to September 2, 2007. However, because September 2, 2007 is a Sunday and September 3, 2007 is the Labor Day holiday, this response is timely filed with a one month extension on September 4, 2007.